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Modification of perhydropolysilazane with aluminum hydride: Preparation of poly(aluminasilazane)s and their conversion into Si–Al–N–C ceramics

Ryoji Toyoda^a, Satoshi Kitaoka^b, Yoshiyuki Sugahara^{a,*}

 ^a Department of Applied Chemistry, School of Science and Engineering, Waseda University, Ohkubo-3, Shinjuku-ku, Tokyo 169-8555, Japan
 ^b Japan Fine Ceramics Center, Atsuta, Nagoya 456-8587, Japan
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Abstract

Perhydropolysilazane (PHPS) was modified with trimethylamine–alane adduct, $AlH_3 \cdot NMe_3$, with two nominal Si/Al molar ratios (1 and 5), and the resulting poly(aluminasilazane)s were pyrolyzed under an N₂ atmosphere. Poly(aluminasilazane) with a nominal Si/Al molar ratio of 5 (PAS5) was insoluble because of the formation of cross-link points *via* dehydrocoupling. In the system with a nominal Si/Al molar ratio of 1 (PAS1), on the contrary, $AlH_3 \cdot NMe_3$ cleaved the Si–N bonds in addition to forming Al–N bonds, drastically modifying the Si–N backbone of PHPS. In addition, a large portion of aluminium was lost in the PAS1 preparation. Thermogravimetric analysis revealed that PAS5 exhibited a ceramic yield of 78% up to 900 °C, while the ceramic yield for PAS1 was 61%. The XRD patterns of the pyrolyzed residues from PAS1 and PAS5 suggest the formation of a 2*H* wurtzite-type compound and silicon nitride.

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1. Introduction

The pyrolytic route, the organic-to-inorganic conversion of organometallic compounds into non-oxide ceramic materials, provides an interesting approach to the fabrication of nitride, carbide, oxynitride, and oxycarbide ceramics.^{1–4} If the precursors are soluble in organic solvents or fusible within a moderate temperature range, the pyrolytic route offers potential for a variety of applications, including use in fibers and coating preparations,^{1,5} in near-net shaping,⁶ and as a binder in the conventional powder process.⁷ Besides solubility and/or fusibility, relatively high ceramic yields are required to obtain non-porous bodies.¹ These two requirements impose limits, however, on the possible variations in precursor structures.

Poly(silazane)s, which possess Si-N backbones, have been known for decades as precursors for Si-N(-C) ceramics,8 in addition to their recent use as precursors for silica⁹ and silica-based hybrids.¹⁰ Among poly(silazane)s, perhydridopolysilazane (PHPS), prepared via ammonolysis of the pyridine adduct of H₂SiCl₂, is known to be an attractive soluble precursor with a relatively high-ceramic yield.⁴ PHPS has been utilized for Si₃N₄-based composites, and the reactivity of SiH and NH groups in PHPS has been used to introduce different metals into precursor structures; modified PHPS has been employed for the preparation of Si-B-C-N-(O),11-14 Si-Al-O-N,¹⁵ Si-Y-(C)-N-O,¹⁶⁻¹⁸ Si-Y-Ti-C-N-O,¹⁹ and Si-Ti- $N^{20,21}$ ceramics. Since Al-Si-C-N ceramics are extremely attractive,²² there have been various studies on the preparation of poly(aluminasilazane)s as precursors for Al-Si-C-N ceramics.²²⁻³⁸ To the best of our knowledge, the use of PHPS in poly(aluminasilazane)s preparations has been very limited.^{15,38}

We report here the preparation of Si-Al-N-C precursors in the form of poly(aluminasilazane)s through modification of

^{*} Corresponding author at: Department of Applied Chemistry, School of Science and Engineering, Waseda University, Ohkubo-3, Shinjuku-ku, Tokyo 169-8555, Japan.

E-mail address: ys6546@waseda.jp (Y. Sugahara).

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PHPS. It is known that the N–H groups in poly(silazane)s can undergo dehydrocoupling with Al–H groups,³⁹ and this type of reaction was employed for reactions between AlH₃·NMe₃ and Si(NHMe)₄²⁵ and between (HAlNⁱPr)_m and [MeSi(H)NH]_n.^{28,30} It appears to be possible, therefore, to modify PHPS with compounds possessing Al–H groups *via* dehydrocoupling. In this study, we employed trimethylamine– alane adduct, AlH₃·NMe₃, and prepared poly(aluminasilazane)s with two nominal ratios (Si/Al = 1 and 5). The structures of the modified PHPS are proposed based on spectroscopic characterization. The process of their conversion into ceramic residues and characterizations of the pyrolyzed residues are also presented.

2. Experimental Procedure

2.1. Instrumentation

Infra-red (IR) spectra were recorded on a Perkin-Elmer IR-1640 by the mull method with hexachrolo,1,3-butadiene (hcb). Nuclear magnetic resonance (NMR) analysis of the precursors (CDCl₃ solvent) was performed using a JEOL JNM-LA-500 spectrometer at 499.10 MHz (¹H), 129.95 MHz (²⁷Al), and 99.05 MHz (²⁹Si). For ²⁹Si NMR, the DEPT (distortionless enhancement by polarization transfer) sequence technique⁴⁰ was also applied. The gases evolved during the synthesis were investigated using a Shimadzu GC-8A gas chromatograph equipped with a thermal conductivity detector and a JEOL JMS-AMSUN200/300 gas chromatograph/mass spectrometer. The amounts of silicon and aluminum were determined by inductively coupled emission spectrometry (ICP; Seiko Instruments SPS7000) after dissolution of about 10 mg of the precursors in 5 mL of hot $10 \mod L^{-1}$ NaOH. The amounts of hydrogen, carbon and nitrogen were determined by an internal service at the Waseda University Materials Characterization Center.

Pyrolysis behavior was investigated by thermogravimetry (TG) using a Perkin-Elmer TGA-7 under Ar flow (100 mL/min) with a heating rate of 10 °C/min up to 900 °C. Coupled TG/mass spectrometric analysis (TG/MS) was performed with a Rigaku TG-8120 thermobalance under He flow (30 mL/min) with a heating rate of 10 °C/min. The thermobalance was connected with a Shimadzu GCMS-QP5050A spectrometer *via* a stainless-steel capillary. Mass spectra were obtained with an ionization energy of 70 eV in the range from 10 to 1000 amu.

The crystalline phases in pyrolyzed residues were identified using a Rigaku RINT-2500 diffractometer with monochromated Cu K_{α} radiation (40 kV, 30 mA). The amounts of silicon and aluminum in the pyrolyzed residues were determined by ICP using the aforementioned instrument. For ICP measurements, about 15 mg of a pyrolyzed residue was fused with about 1 g of Na₂CO₃ at around 1000 °C for at least 30 min. The amounts of carbon, nitrogen, and oxygen in the pyrolyzed residues were determined using a LECO TC-436 instrument for nitrogen and oxygen and a LECO CS-444LS instrument for carbon. The morphology of the pyrolyzed residues was investigated using a Hitachi S-4500S scanning electron microscope.

2.2. Preparation and pyrolysis of the precursors

All the procedures were performed based on the standard Schlenk techniques in a protective nitrogen atmosphere⁴¹ or in a glove box filled with nitrogen. Xylene was dried over Na prior to use. PHPS [Clariant (Japan), N-N310; IR (hcb, cm⁻¹, Fig. 1b): 3374 (ν_{N-H}), 2954, 2900 (ν_{C-H}), 2162 (ν_{Si-H}), 1406 ($\nu_{Si-C-Si}$), 1250 (ν_{Si-CH3}), 1180 (δ_{N-H}), 760-1100 (v_{Si-N}); elemental contents (mass%) Si, 62.2; N, 25.0; O, 0.4; C, 4.5] was used after dehydration with a 3A molecular sieve. AlH₃·NMe₃ was prepared by a procedure described in a previous study.⁴² Poly(aluminasilazane)s were prepared at nominal Si/Al molar ratios of 1 and 5. A xylene solution of PHPS (20 mass%) was cooled to 0°C, and AlH₃·NMe₃ was added quickly. The resultant mixture was stirred at 0 °C for 1 h and then stirred further at ambient temperature for 4 h. A portion of the gases evolved during synthesis was introduced into a container for further characterization. The removal of volatiles by distillation under reduced pressure gave white powders for both Si/Al=1 and 5. Special care was required in the PAS1 preparation, because flammable silane formed during the synthesis operation (vide infra).

A precursor was placed in a BN boat, and entered into an Al_2O_3 tube filled with nitrogen and pyrolyzed at 1400, 1500, and 1600 °C for 1 h under nitrogen flow (100 mL/min). The heating and cooling rate was 5 °C/min.



Fig. 1. IR spectra of (a) $H_3Al \cdot NMe_3$, (b) PHPS, (c) PAS5, and (d) PAS1. The bands marked by asterisks are due to hexachrolo,1,3-butadiene (hcb).



Fig. 2. ¹H NMR spectra of (a) H₃Al·NMe₃, (b) PHPS, and (c) PAS1. The signals marked by asterisks are due to solvent (toluene).

3. Results and discussion

3.1. Characterization of precursors

Gelation occurred only for PAS5 (nominal Si/Al molar ratio of 5). PAS1 (nominal Si/Al molar ratio of 1) was soluble in organic solvents such as tetrahydrofuran and chloroform, while PAS5 was insoluble.

Fig. 1 demonstrates the IR spectra of PHPS, PAS1, and PAS5. Compared to the spectrum of PHPS, the relative intensity of the $\nu_{(N-H)}$ band at around 3370 cm⁻¹ ⁴³ decreases in the spectrum of PAS5. With a larger relative amount of AlH₃·NMe₃ (nominal Si/Al molar ratio of 1, PAS1), the $\nu_{(N-H)}$ band completely disappears. In the spectra of both PAS1 and PAS5, the ν_{Al-H} bands (1798 cm⁻¹), which show shifts from the ν_{Al-H} bands (PAS1, 2140 cm⁻¹; PAS5, 2154 cm⁻¹)⁴³ are clearly observed.

Fig. 2 demonstrates the ¹H NMR spectra of AlH₃·NMe₃, PHPS, and PAS1. NMR characterization of insoluble PAS5 was impossible. Compared to the spectrum of PHPS (Fig. 2b), signals for the AlH groups (3.49 ppm) and NMe₃ [N(CH₃)₃] (2.45 ppm)⁴¹ appear in the spectrum of PAS1 (Fig. 2c). While a broad peak ascribed to NH groups is observed at 0.6–1.6 ppm in the spectrum of PHPS, no NH signal is detected in the spectrum of PAS1, which is in accordance with the IR results. In addition, the intensities of the signals due to \equiv SiMe (\equiv SiCH₃) groups in PHPS (0.11 and 0.25 ppm)¹⁷ decrease significantly. The profiles of the signals due to SiH groups (~4.4 ppm, NSiH₃; ~4.9 ppm, N₃SiH/N₂SiH₂)¹⁷ show a slight difference between the spectra of PHPS and PAS1, and the intensity ratios of the 4.4-ppm sig-



Fig. 3. ²⁷Al NMR spectra of (a) H₃Al·NMe₃ and (b) PAS1.

nal and the 4.9-ppm signal $(I_{4,4}/I_{4,9})$ increase upon the treatment with AlH₃·NMe₃.

The ²⁷Al NMR spectrum of PAS1 is shown in Fig. 3. In the spectrum of PAS1, three signals are observed at 56, 113, and 133 ppm. The signals at 56 and 113 ppm are ascribed to the AlN_5^{27} and AlN_4^{44} environments, respectively. Since several signals were reported near 133 ppm, the HAlN₃ environments in cage-type (HAlNR)_n at ~130 ppm^{45,46} and the H₂AlN₂ and HAlN₃ environments at 116–120 ppm,⁴⁷ the 133-ppm signal can be ascribed to the H_xAlN_{4-x} environments. Since NMe₃ is still detected by ¹H NMR, Al–NMe₃ linkage appears to remain in these environments, at least in part.



Fig. 4. ²⁹Si NMR spectra of (a) PHPS and (b) PAS1.



Fig. 5. Proposed structures of (a) PAS5 and (b) PAS1.

Silicon-29 NMR provides further information on the Si environments of PAS1 (Fig. 4). Both PHPS and PAS1 exhibit two broad signals, one of which is a signal (centered at around -50 ppm) ascribable to an NSiH₃ environment.¹⁸ The other signal is deconvoluted into two signals at -35 ppm (N₃SiH) and -33 ppm (N₂SiH₂), as confirmed by DEPT measurements with different pulse angles (not shown). The profile changes slightly upon reaction with AlH₃·NMe₃, as was expected from the ¹H NMR results.

3.2. Reaction mechanism

When a smaller amount of AlH₃·NMe₃ was reacted with PHPS (nominal Si/Al molar ratio of 5), the resulting product (PAS5) was a gel that was insoluble in organic solvents. With the use of a larger amount of AlH₃·NMe₃, on the contrary, a soluble product (PAS1) was formed. It seems, therefore, that the addition of AlH₃·NMe₃ resulted in the formation of an insoluble crosslinked network only when the amount of AlH₃·NMe₃ employed was small.

The gases captured during the preparation of PAS1 were identified by GC and GC/MS analyses as H_2 , SiH₄, MeSiH₃, and NMe₃. The formation of hydrogen and the loss of the NH groups (as shown by the IR and NMR results) indicate that the following dehydrocoupling had occur, as anticipated based on previous reports^{25,39}:

$$\equiv AlH + HN = \xrightarrow{-H_2} \equiv Al - N =$$
(1)

AlH₃·NMe₃ can form a maximum of three Al–N bonds *via* dehydrocoupling, and the additional coordination of nitrogen

(in the PHPS structure and/or NMe₃) leads to the formation of AlN₄ and AlN₅ environments. The presence of the AlN₄ and AlN₅ environments as revealed by ²⁷Al NMR, therefore, clearly demonstrates that AlH₃·NMe₃ acts as a cross-linking reagent. Since the shift of the $v_{(Al-H)}$ band to a higher wave number was reported for dehydrocoupling of AlH₃·NMe₃ with the NH groups,³⁹ the observed $v_{(Al-H)}$ band shift indicates the formation of H_xAlN_{4-x} environments, whose presence is demonstrated by the ²⁷Al NMR results, *via* the same type of dehydrocoupling.

Since =NSiMe₃ and =NSiH₃ groups are present in PHPS,¹⁷ the formation of SiH₄ and Me₃SiH reveals the cleavage of the Si–N bonds by the AlH groups in the following manner³⁹:

$$=NSiMe_3 + HAl \equiv \rightarrow =N-Al \equiv + Me_3SiH$$
(2)

$$= NSiH_3 + HAl \equiv \rightarrow = N - Al \equiv + SiH_4$$
(3)

The reaction (Eq. (2)) shows good consistency with the decrease in the \equiv SiMe environment after the reaction (¹H NMR, Fig. 2). No corresponding change is observed, however, for SiH₄ formation in the ¹H NMR results; the number of $-SiH_3(\equiv NSiH_3)$ groups relative to numbers of the =SiH₂[(=N)₂SiH₂] and \equiv SiH[(=N)₃SiH] groups increases upon treatment with AlH₃·NMe₃. This appears to be ascribable partly to the simultaneous appearance and disappearance of the =NSiH₃ environment. The =NSiH₃ environment is consumed to form SiH₄ *via* the reaction (Eq. (3)) and is also generated from the (=N₂)SiH₂ environment *via* the following reaction:

$$(=N)_2SiH_2 + HAl \equiv \rightarrow =N-Al \equiv + =NSiH_3$$
(4)

The following reaction is similarly expected to occur:

$$(=N)_{3}SiH + HAl \equiv \rightarrow =N-Al \equiv + (=N)_{2}SiH_{2}$$
(5)



Fig. 6. TG curves of (a) PHPS, (b) PAS5, and (c) PAS1 under He flow. The variations in the intensity of the fragments during simultaneous TG–MS analysis of PAS 1 [curve(c)] are also shown; curves (d–g) show fragments at *m/e* 58, *m/e* 30, *m/e* 15 and *m/e* 73, respectively.

Precursor	Pyrolytic condition	Ceramic yield (mass%)	Composition (mass%)						Empirical formula	Si/Al
			Si	Al	Ν	С	0	Total		
PAS5	1500 °C, N ₂ , 1 h	74	53.4	10.8	26.2	9.8	1.1	101.3	$Si_{1.0}A_{0.21}N_{0.98}C_{0.43}O_{0.04}$	4.75
PAS1	1400 °C, N ₂ , 1 h	59	31.3	27.6	25.0	8.2	1.5	93.6	Si1.0A0.92N1.6C0.61O0.08	1.11
	1500 °C, N ₂ , 1 h	59	32.7	29.1	24.1	8.6	1.7	96.2	Si1.0A0.93N1.5C0.62O0.09	1.08
	1600 °C, N ₂ , 1 h	58	32.6	28.8	24.1	9.3	1.8	96.6%	Si _{1.0} A _{0.92} N _{1.5} C _{0.67} O _{0.10}	1.09

 Table 1

 Compositional characteristics of pyrolyzed products

It is worth noting that these two reactions (Eqs. (4) and (5)) do not involve gas evolution but lead to a reduction of molecular mass.

As the above discussion suggests, the cleavage of the Si–N bonds seems to account for the formation of soluble oligomers during PAS1 preparation, despite the formation of cross-linking points via the dehydrocoupling reaction (Eq. (1)). Based on compositional analyses, the empirical formula for PAS1 is Si_{1.0}Al_{0.51}N_{0.63}H_{6.0}C_{1.2}. Thus, the Si/Al molar ratio in PAS1 increased to 1.97 from the nominal value (Si/Al=1). Since Al was detected in the volatiles removed during distillation under reduced pressure, it is apparent that only a part of AlH₃·NMe₃ was reacted in PAS1 preparation. The amount of reacted AlH₃·NMe₃ is calculated from the Si/Al molar ratio (1.97) to be 50.8%, but the actual amount should be smaller when the loss of silanes (SiH4 and Me3SiH) is taken into consideration. The large reduction in the amount of reacted AlH₃·NMe₃ also seems to account in part for the solubility of PAS1. The empirical formula for PAS5, on the contrary, is $Si_{1.0}Al_{0.21}N_{0.83}H_{4.9}C_{0.99}$,



Fig. 7. XRD patterns of the residues pyrolyzed under N_2 flow for 1 h: (a) PAS5 pyrolyzed at 1500 °C, (b) PAS1 pyrolyzed at 1400 °C, (c) PAS1 pyrolyzed at 1500 °C, and (d) PAS1 pyrolyzed at 1600 °C.

indicating that the Si/Al molar ratio of PAS5 is very close to the nominal ratio (Si/Al = 5). In addition, no loss of Al-containing species was observed during distillation under reduced pressure. Thus, essentially all of $AlH_3 \cdot NMe_3$ is reacted and acts mainly as a cross-linking reagent to form an insoluble network.

Si-N bond cleavage is apparent only during the synthesis of PAS1. Since the IR results indicate a complete loss of the NH groups in PAS1, whose preparation involves a larger amount of AlH₃·NMe₃, it is reasonable to assume that all the NH groups undergo dehydrocoupling, suggesting that the AlH groups remain present in considerable numbers. Thus, attacks by the AlH groups on the Si-N bonds must occur frequently. When the nominal Si/Al molar ratio is 5, on the contrary, the number of NH groups is larger than that of AlH groups in starting compounds. Thus, a large portion of the AlH groups must be consumed by dehydrocoupling. In the PAS5 structure, however, both NH groups and AlH groups are present, as shown by the IR results. It is therefore assumed that the remaining AlH groups are positioned at immobilized sites present in the three-dimensional network. Thus, the Si-N bond cleavage is limited in PAS5 preparation, and AlH₃·NMe₃ acts mainly as a cross-link reagent to form an insoluble cross-linked network, despite the smaller AlH₃·NMe₃ content. It is therefore reasonable to assume that the AlN₄ and AlN₅ environments, which are present in PAS1, are also present in the PAS5 structure, although ²⁷Al NMR information is lacking. Structural models of PAS1 and PAS5 proposed based on this discussion are shown in Fig. 5.

3.3. Pyrolysis of the precursors

Fig. 6 shows TG curves for PHPS, PAS1, and PAS5 up to 900 °C at which conversion of the precursors into ceramic residues is essentially completed. The ceramic yield of PAS1 (61%) is lower than that of unmodified PHPS (75%). A large mass loss, which is not observed in the TG curve of PHPS, is present at around 100–200 °C in the TG curve of PAS1. TG–MS analysis of PAS1 (Fig. 6) reveals evolution of SiH₄ (as shown by the fragment at *m/e* 30) and Me₃SiH (*m/e* 73), in addition to that of NMe₃ (*m/e* 58; although NMe₃ exhibits a weak fragment at *m/e* 30, the contribution of NMe₃ to the fragment at *m/e* 30 is expected to be small). The formation of SiH₄ and Me₃SiH is observed up to ~300 °C, and the temperature range at which these silanes are formed mostly overlaps the steep mass loss. Since the AlH groups are present in PAS1 with no remaining NH groups (as shown by IR; Fig. 1), further Si–N bond cleav-



Fig. 8. Scanning electron micrographs of the residues pyrolyzed under N_2 flow at 1500° C for 1 h: (a) from PAS5 and (b) from PAS1.

age occurs in this temperature range to reduce the ceramic yield. Although the fragment at m/e 15 below ~ 300 °C could originate from methyl groups in evolved gases, the fragment at m/e 15 in the higher temperature range where gas evolution is essentially completed (>300 °C) should be ascribed mainly to CH₄ formation from the remaining \equiv SiCH₃ groups in a cross-linked network. The ceramic yield of PAS5 (78%) is slightly larger than that of PHPS, but it is lower than the ceramic yield range expected for cross-linked PHPS. TG–MS analysis revealed that no silanes had evolved, but NMe₃ was clearly detected. Thus, this moderate ceramic yield is partly ascribable to the presence of NMe₃ in the structure.

Table 1 lists the ceramic yields for the pyrolysis. The ceramic yield of PAS5 (74% at 1500 °C) is larger than the corresponding value for PAS1 (59%), which is consistent with the TG results. The compositions of the pyrolyzed residues are also shown in Table 1. The Si/Al molar ratio of the ceramic residue from PAS5 is essentially the same as that of the PAS5 precursor. The Si/Al molar ratios of the pyrolyzed residues from PAS1 (1.06–1.11), on the contrary, are much smaller than that of the PAS1 precursor (1.97). This is consistent with the evolution of silanes at low temperatures, as shown by the TG–MS analysis.

The XRD patterns of the pyrolyzed residues are shown in Fig. 7. The residue obtained by pyrolyzing PAS5 at $1500 \degree$ C exhibits broad reflections ascribable to a 2*H* wurtzite-type

compound⁴⁸ and α -Si₃N₄. The XRD patterns of the pyrolyzed residues from PAS1 also consist of reflections due to the 2*H* wurtzite-type compound and α -Si₃N₄. The variation in pyrolysis temperatures (1400–1600 °C) does not affect the XRD profiles to any considerable extent. The 2*H* wurtzite-type compound in the Si–Al–N–C system could be a mixture of AlN and 2*H*–SiC or an AlN/SiC solid solution (or a mixture of solid solutions).^{48,49} The XRD reflections are too broad, however, to permit analysis of the line shapes or to determine the lattice parameters.

Fig. 8 shows scanning electron micrographs of the residues pyrolyzed at 1500 °C. The pyrolyzed residue from PAS5 consists of fine particles (20–40 nm in diameter), while much larger particles are observed in the pyrolyzed residue from PAS1. The particle size estimated by XRD reflection broadening indicates, however, that the average particle size of the residue obtained by pyrolyzing PAS1 at 1500 °C is ~37 nm. The difference between the observed (SEM) and estimated (XRD) particle sizes can be explained by the fact that the observed particles are aggregates of crystallites.

4. Conclusions

PHPS has been modified with AlH₃·NMe₃ to form poly(aluminasilazane)s. AlH₃·NMe₃ acts as a cross-link reagent via a dehydrocoupling reaction, but the AlH groups also cleave the Si-N bonds. Poly(aluminasilazane) prepared with a nominal Si/Al molar ratio of 5 (PAS5) is insoluble, indicating that AlH₃·NMe₃ provides cross-linking points to form a threedimensional network. Si-N bond cleavage is extremely limited during PAS5 preparation. The Si/Al molar ratio in PAS5 (4.85) is consistent with the nominal value. Poly(aluminasilazane) prepared with a nominal Si/Al molar ratio of 1 (PAS1), on the contrary, is soluble in organic solvents. Though dehydrocoupling generates AlN₅ and AlN₄ environments, the cleavage of the Si-N bonds leads to drastic changes in the Si-N backbone structure originating in PHPS and the evolution of silanes (SiH₄ and Me₃SiH). In spite of the evolution of silanes, the Si/Al molar ratio increases to 1.97 because of the loss of a considerable portion of Al in PAS1 preparation. The ceramic yield of PAS5 (78%) is higher than that of PHPS (75%), while PAS1 exhibits a lower ceramic yield (61%) due mainly to silane formation at ~100-200 °C via Si-N bond cleavage during pyrolysis. All the pyrolyzed residues mainly contain a 2Hwurtzite-type compound with relatively small amounts of silicon nitride. The present study demonstrates that Si-N bond cleavage by the AlH groups could be involved during the modification of poly(silazane)s with molecules bearing Al-H groups. Thus, modification with aluminum hydride and related molecules should be carefully designed for both dehydrocoupling and Si-N bond cleavage.

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